

Invitation for Expression of Interest for the Empanelment of Resource Centres

Pradhan Mantri Awas Yojana(Urban) - Housing for All Mission {PMAY(U)-HFA}

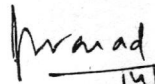
Ministry of Housing and Urban Poverty Alleviation, Government of India

The PMAY(U)-HFA Mission is the flagship programme of Government of India to provide housing for eligible families in urban areas by way of central assistance through States and Union Territories (UTs). The programme is implemented through four components namely "In situ" Slum Redevelopment, Affordable Housing through Credit Linked Subsidy, Affordable Housing in Partnership and Subsidy for Beneficiary-led Individual House Construction or Enhancement. Capacity building of States/UTs and Urban Local Bodies (ULBs) is one of the major foci of the Mission. Details of the programme are available at the website www.mhupa.gov.in.

The Mission Directorate of PMAY(U), Ministry of Housing and Urban Poverty Alleviation, Government of India invites Expression of Interest (EoI) from national and regional level institutions (public and private sector), which have relevant experience to be empanelled as Resource Centres (RCs) to undertake capacity building activities under PMAY(U)-HFA Mission. RCs will be operational for a period from July, 2017 to June, 2022 or an earlier date as per the requirement of the Ministry and based on performance. RCs will support the Ministry/States/UTs and Urban Local Bodies to undertake implementation related activities including quality monitoring and social audit as given in the 'scope of work' available in the detailed invitation of EoI placed in the Ministry's website at www.mhupa.gov.in

Further details can be obtained at the address given below during office hours (09.00 to 17.30 hours). EoI in the required format along with supporting documents must be delivered to the address below within 21 days from the date of publication of this invitation of the EoI in a sealed envelope through speed post/registered post/by hand:

Director (HFA-I)
Ministry of Housing & Urban Poverty Alleviation
Room No. 219-G, Nirman Bhawan
New Delhi-110011
Tel. No. 011-23062279


(Jagdish Prasad)
14/06/17
Under Secretary (HFA-II)
Nirman Bhawan, Maulana Azad Road
New Delhi - 110011
Telephone: 011-23063029

Invitation for Expression of Interest for the Empanelment of Resource Centres under Pradhan Mantri Awas Yojana - Housing for All (Urban)

Ministry of Housing and Urban Poverty Alleviation (MoHUPA) Government of India

1. Background

The Pradhan Mantri Awas Yojana (PMAY)-Housing for All (HFA) (Urban) is the flagship programme of Government of India to provide housing to eligible families in urban areas by way of central assistance through States and UTs. The programme is implemented through four components namely "In situ" Slum Redevelopment, Affordable Housing through Credit Linked Subsidy, Affordable Housing in Partnership, and Subsidy for beneficiary-led individual house construction in a Mission mode. Details of the programme are available at the website www.mhupa.gov.in. Capacity building of States/UTs and Urban Local Bodies (ULBs) is one of the major foci of the Mission. Para 12.3 of PMAY scheme guidelines requires the Mission to "empanel Resource Centres for providing training and to undertake other activities".

2. Objective

Expression of Interest (EoI) is invited from national/regional and state level institutions (public and private sector), which have experience and interest to be empaneled as RCs to undertake capacity building activities under PMAY-HFA Mission. The objective of this invitation for Expression of Interest (EoI) is to empanel Institutions/organizations to function as Resource Centres (RCs) for a period from July 2017 to the end of the PMAY - HFA Mission in June 2022 or an earlier date as per the requirement of the Ministry and the performance of the RCs. RCs will support the Ministry/States/UTs and Urban Local Bodies to undertake implementation related activities under Pradhan Mantri Awas Yojana (PMAY)-Housing for All (HFA) (Urban).

Empaneled institutions may be contracted for undertaking any one, two or all three activities given as 'scope of work' in section 3 below. They will also be asked to provide services at (a) National and State/UT level or (b) State/UT and ULB level. RCs are required to indicate their preferred thematic area/s and levels (National and State or State and ULB) in the EoI, based on their work experience and their available expertise. Interested Institutions/organizations are requested to submit an EoI in the format given in the Annexure along with other required attachments. A separate contract will be issued with detailed timelines, specific deliverables, thematic areas, and levels of engagement.

3. Scope of work

RCs will support the Mission in its implementation, in conducting Third Party Quality Monitoring, and in conducting Social Audit of Projects as per the details below:

3.1. Implementation Support

Support states/UTs/ULBs in planning and implementing the Mission activities by providing any support which the State Level Technical Cells and City Level Technical Cells could not provide, particularly:

1. Support training and workshops at State/City levels and/or on various thematic areas including MIS, Geo-tagging etc. as required by states. This support includes

- preparation of training strategy, development of training modules and materials, Conducting ToT programs and workshops.
2. Support assigned States/UTs and ULBs to undertake specialized research activities/impact assessments /documentation of best practices that can be help in the speedy implementation of the Mission activities.
 3. Organize exposure/handholding visits for States to demonstration housing projects or to sites where best/innovative/green practices are followed.

3.2. Third Party Quality Monitoring

Support states/UTs to ensure quality of construction of houses under various components of the Mission by:

1. Conducting field visits for ascertaining quality of construction at various stages of construction as per PMAY TPQM Reference Guide and submit required reports.
2. Developing and implementing annual quality monitoring and assurance plans with specific, measurable, achievable, relevant and time bound outcome indicators
3. Assessing challenges and gaps in states and UTs in incorporating state/region specific modern, innovative, green technologies through Mission's Technology Submission¹ and suggest remedies
4. Advise and support state/UT and urban local bodies on all quality related issues, especially in taking preventive and curative measures based on reports submitted
5. Organize workshops on quality monitoring related themes.

3.3. Social Audit

Support states/UTs to conduct social audit of Mission Projects by:

1. Developing city wise plans and calendars for Social Audit in consultation with Urban Local Bodies (ULB) and conduct Social Audit with support from State Level Nodal Agencies (SLNA), and State/City Level Technical Cells as explained in Mission's Social Audit Guidelines.
2. Providing dedicated Social Audit expert/s to conduct Social Audit. The expert will lead the social Audit process by selecting projects for Social Audit, design tools, form and train Social Audit Committee members, facilitate all social audit related meetings, and ensure systematic data collection during the field work by efficiently guiding the Social Audit process, as per the process outlined in Mission's Social Audit Guidelines.
3. Prepare Social Audit report and share it with required stakeholders.

4. Geographic Areas

RCs will be assigned to provide services in maximum two regions. RCs are required to indicate their preferred regions in the EoI, based on their work experience in the preferred region.

Table 1: Regional classification of States and Union territories

Region	Name of State
1 North	Punjab, Himachal Pradesh, Uttar Pradesh, Uttarakhand Rajasthan, Jammu & Kashmir and Chandigarh

¹ Technology Sub Mission facilitates adoption of modern innovative and green technologies and building material for faster and quality construction of houses.

2.	Central	<i>Madhya Pradesh, Chhattisgarh, Delhi, and Haryana</i>
3	East	<i>Bihar, West Bengal, Jharkhand, and Orissa</i>
4	West	<i>Maharashtra, Gujarat, Goa, Daman & Diu, and Dadra & Nagar Haveli</i>
5	South	<i>Andhra Pradesh, Telangana, Karnataka, Kerala, Tamil Nadu, Puducherry, Lakshadweep, and Andaman and Nicobar</i>
6	North East	<i>Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura</i>

5. Areas of Thematic Expertise

The required areas of expertise of RCs must include but not limited to:

Main Areas of expertise	Sub areas of expertise
Implementation support (Training, Research and Documentation, learning Visits)	Training and Research methodologies, Project planning and implementation activities including Urban Planning, physical infrastructure planning, land use management, Re-development, Design of 'affordable housing' through innovative design and green building technologies, Municipal and Housing Finance, Financial viability of projects. Identification of best practices, learning opportunities, conducting field visits MIS and Geo-tagging
Quality Monitoring	Monitoring of construction quality, Benchmarking, Key Performance Indicators, Spot assessments of infrastructure projects, Cost Benefit Analysis.
Social Audit	Methods for community mobilization/participation, Beneficiary participation in development projects, Participatory research methodologies, developing design and tools for social science research studies, Stakeholder Analysis, training methods.

6. Eligibility Criteria

- i. The institution/organization should have been in existence and functioning in India for the last 5 years
- ii. Should have at least 5 years of relevant experience in playing a lead role in providing support to large scale urban/housing schemes or related projects at national /international level, in any one or more of the main thematic areas mentioned in section 5.

- iii. Should have minimum 5 full time thematic experts having at least 5 years of experience in providing support in Quality Monitoring, Social Audit and/or Project planning, and implementation.
- iv. The Institution/organization to be empaneled for national/state level should have an average annual turnover of at least 50 lakh rupees during the last three years. The Institution/organization to be empaneled for state/ULB level should have an average annual turnover of at least 20 lakh rupees during the last three years. (Government/Public sector institutions are exempted from the criteria of annual turnover).

7. Evaluation Process and Criteria

A 5-member committee which includes 2 representatives from Ministry's HFA Directorate (Director/Deputy Secretary), 1 representative from Finance Division, 1 representative each from Building Materials & Technology Promotion Council and Human Settlement Management Institute will evaluate all the bids submitted by institutions/organizations, based on the following evaluation criteria:

Criteria	Weightage
Experience of the Firm	60%
Number of Years of Experience	20%
Experience of conducting capacity building activities around implementation support	15%
Experience of conducting capacity building activities around quality monitoring	15%
Experience of conducting capacity building activities around social audit	15%
Experience in carrying out capacity building activities in housing sector	20%
Capacity building activities carried out in urban India	15%
Experience of Key Personnel	25%
Qualifications	30%
Relevant Experience	70%
Financial Strength of the institution/organization (not applicable to government/public sector organizations)	15%
Turnover Figure for Last Three Years	50%
Net Profit figure for last 3 years	50%

Institutions which could meet the eligibility criteria and score at least 75% marks in the evaluation by the Committee will be empaneled as RCs in respective thematic areas and preferred regions. The Ministry reserves the right to call for additional information from the bidders or making changes in the conditions prescribed in the EoI.

Annexure
Format for Expression of Interest

I. Applicant Details

Name of Institution	
Registered in India (Yes/No)	
Year of establishment	
Details of registration	

II. Contact Person (for this application) of the Applicant Institution

Name	
Designation	
Organization	
Address	
Telephone	
Fax	
e-mail	

III. Registered Address of the Applicant Institution (Please attach self-attested registration certificate and Memorandum of Association)

Address	
Telephone	
Fax	
e-mail	

IV. Areas of Thematic Expertise Selected (Write any one, two or three Main areas along with sub areas of expertise. Refer para 5 of Invitation for Expression of Interest for the Empanelment of Resource Centres for list of areas of expertise)

Main Area/s of expertise selected	Sub area/s of expertise selected

V. Preferred Region/s and State/s (Write maximum two regions along with state/states. Refer para 4 of Invitation for Expression of Interest for the Empanelment of Resource Centres for list of regions and states)

Preferred Region/s	Preferred State/s

VI. **Preferred Level of Engagement** (Mark any one of the following levels in which services will be provided):

Preferred Level of Engagement	
National and State/UT level	
State/UT and ULB level	

VII. **Experience of the Applicant Organization** (Provide responses in not more than 100 words)

1	Number of years of relevant work experience	
2	States and regions where the institution has worked	
3	Experience of conducting capacity building activities around implementation support	
4	Experience of conducting capacity building activities around quality monitoring	
5	Experience of conducting capacity building activities around social audit	
6	Experience in carrying out capacity building activities in housing sector	
7	Experience in carrying out capacity building activities in urban India	

VIII. **Staff Resources**

Please provide the personnel details for the current and past two financial years:

Manpower	(FY March 2016)	(FY March 2015)	(FY March 2014)
Number of Permanent Staff			
Number of Contract Staff			

IX. Relevant Key Personnel Details

Name	Qualifications (degree, year)	Total Experience (Number of years)	Key Competence	Experience in similar work	Permanent/Contractual

(Please attach CVs of 5 Key Staff Members engaged in relevant work)

X. Turnover*and Net Profit *(Applicable to non-governmental entities only)*

What was your Institution’s total annual turnover and net profit figure for the last three years? *(The applicant will be asked to furnish audited financial statements for the past three years before contracting)*

	(FY March 2015)	(FY March 2014)	(FY March 2013)
Annual Turn Over			
Net Profit Figure			

(*Annual turnover is defined as all research grants, grants-in-aid and receipts for all activities undertaken by the institution within a financial year)

Attachments *(Please attach following 4 documents)*

1. Self-attested registration certificate and Memorandum of Association of the applicant institution
2. Capability Statement, of not more than 1000 words, which illustrates the institution’s relevant skills, and experience of providing services in the areas described under scope of work in section 3, within the geographic areas mentioned in section 4, on thematic areas of expertise described in section 5 of *Invitation for Expression of Interest (EoI) for the Empanelment of Resource Centres (RCs)*
3. CVs of 5 Key Staff Members engaged in relevant work
4. List projects in the last three years (max 20 in total) which the applicant institution has delivered under the main/sub area of expertise for which empanelment is requested, as per the requested format below:

Name of the Project	
Name of the client	
Description of the project/capacity building activity	
Date of completion of the project/capacity building activity (in DD/MM/YY format)	
Scale of the project/ capacity building activity	
Capital cost of the project /capacity building activity in INR	
Brief scope of the work	

